

Modeling the behavior of ionosphere above Millstone Hill during the September 21–27, 1998 storm

Jiuhou Lei^{a,b,*}, Libo Liu^a, Weixing Wan^a, Shun-Rong Zhang^c

^a*Institute of Geology and Geophysics, Chinese Academy of Sciences, Beijing 100029, People's Republic of China*

^b*Wuhan Institute of Physics and Mathematics, Chinese Academy of Sciences, Wuhan 430071, People's Republic of China*

^c*Haystack Observatory, Massachusetts Institute of Technology, Westford, Massachusetts, USA*

Received 20 June 2003; received in revised form 21 January 2004; accepted 13 April 2004

Abstract

A theoretical ionospheric model is employed to investigate the ionospheric behavior as observed by the incoherent-scatter radar (ISR) at Millstone Hill during the September 21–27, 1998 storm. The observed N_mF_2 presented a significant negative phase on September 25, and a G condition ($h_mF_2 < 200$ km) was also observed. The model results based on the standard input parameters (climatological model values) are in good agreement with the observed electron densities under quiet conditions, but there are large discrepancies during disturbed periods. The exospheric temperature T_{ex} , neutral winds, atomic oxygen density [O] and molecular nitrogen density [N₂], and solar flux are inferred from the ISR ion temperature profiles and from the electron density profiles. Our calculated results show that the maximum T_{ex} is higher than 1700 K, and an averaged decrease in [O] is a factor of 2.2 and an increase in [N₂] at 300 km is about 1.8 times for the disturbed day, September 25, relative to the quiet day level. Therefore, the large change of [N₂]/[O] ratio gives a good explanation for the negative phase at Millstone Hill during this storm. Furthermore, at the disturbed nighttime the observations show a strong N_mF_2 decrease, accompanied by a significant h_mF_2 increase after the sudden storm commencement (SSC). Simulations are carried out based on the inferred T_{ex} . It is found that the uplift of F_2 layer during the period from sunset to post-midnight is mainly associated with the large equatorward winds, and a second rise in h_mF_2 after midnight results from the depleted N_e in the bottom-side of F_2 layer due to the increased recombination, while the “midnight collapse” of h_mF_2 is attributed to the large-scale traveling atmospheric disturbances.

© 2004 Elsevier Ltd. All rights reserved.

Keywords: Ionospheric storm; Incoherent scatter radar; Data assimilation; Modeling and forecasting

1. Introduction

During geomagnetic storms, the injection of enhanced solar wind energy and magnetospheric high-energy particles may induce profound influences on the global ionosphere and upper atmosphere. These influences vary with location, season, local time and solar activity. The responses of the ionosphere during storms, and ionospheric substorms,

have been studied extensively for several decades. Recent progress and outstanding questions about ionospheric storms have been reviewed by Pröls (1995), Buonsanto (1999) and Danilov and Lastovička (2001). However, the ionospheric storms have not been fully understood, so case studies with experimental data and theoretical modeling techniques are still crucial for the understanding of ionospheric storms.

It is well known that observations with incoherent scatter radars (ISR) have offered a good opportunity for understanding the ionospheric behavior (e.g., Buonsanto, 1999, and references therein). In this study, the continuously measured data from the ISR observations at Millstone Hill (42.6°N,

* Corresponding author. Tel.: +86-27-87197797; fax: +86-27-87198238.

E-mail address: Leijh@wipm.ac.cn (J. Lei).

288.5°E) during 21–27 September, 1998 were used for the present analysis. A clear negative phase in N_mF_2 and a G condition were observed on September 25. At middle latitudes, negative ionospheric storms, in general, are attributed to the relative changes in the neutral compositions, namely the $[O]/[N_2]$ ratio (Prölss, 1995). Pavlov and his collaborators (e.g. Pavlov, 1994; Pavlov and Buonsanto, 1996, 1997; Pavlov et al., 1999; Pavlov and Foster, 2001) suggested that the vibrationally excited N_2 and O_2 may play a significant role. However, in some case studies (Mikhailov and Schlegel, 1997; Mikhailov and Foster, 1997) the storm effects were successfully explained without including the effects of vibrationally excited N_2 and O_2 in their ionospheric model. In this paper, we seek to ascertain which mechanism plays a more important role for the negative phase. Another interesting feature is a strong post-midnight N_mF_2 decrease, accompanied by an h_mF_2 increase on September 25 compared to the quiet day. The increase in h_mF_2 is likely to be associated with a strong eastward electric field and with enhanced equatorward winds relative to quiet periods, and a large depletion of ionization results from an increased recombination rate (Buonsanto and Foster, 1993). Simulations will be performed to understand in detail the effect of dynamics and recombination processes.

Basically, the simulation of the ionospheric storms using first-principle models is one of the most challenging tasks of ionospheric physics. Contrary to expectation, in case studies the coupled thermosphere–ionosphere models (Anderson et al., 1998) were found to be no more accurate than the uncoupled ionospheric models which adopt the empirical atmospheric models, such as the MSIS (Hedin, 1991) and HWM (Hedin et al., 1996) models. Furthermore, the MSIS model is believed to represent well a statistical description, but does not capture the local structure and the shorter time scales associated with a particular storm. Considerable work has taken into account the MSIS modifications required to give numerical model results with better matches to observations (e.g. Richards and Wilkinson, 1998; Richards et al., 1998; Zhang et al., 1999; Pavlov and Foster, 2001; Liliensten and Bletly, 2002). More recently, the NRLMSISE-00 model (Picone et al., 2002), the latest version of the MSIS model, has been developed. Although the NRLMSISE-00 model has incorporated more data, the model has not achieved much improvement in representing the rapid atmospheric changes during the storm. Besides, neutral winds are also very important for modeling F -layer phenomena. The best empirical model of thermosphere horizontal winds, HWM93 was constructed by Hedin et al. (1996), and is readily accessible. Many studies show that in some cases there is a large disagreement between the model winds and the observed winds or the equivalent winds from the F -layer peak height h_mF_2 (e.g. Titheridge, 1993; Liu et al., 2003). The HWM model requires more improvement. The solar extreme ultraviolet (EUV) flux that is energetic enough to ionize the upper atmosphere, is very important for theoretical modeling. Several solar flux models (e.g., the EUV94 model (Tobiska,

1994) and the EUVAC model (Richards et al., 1994)) are widely used in the aeronomic calculations. However, there are not enough measurements required to confirm which model is better than others (Pavlov and Buonsanto, 1997). Thus suitable input information for theoretical models, such as accurate knowledge of the neutral composition, neutral winds, and solar EUV fluxes, is necessary to reproduce the observations, especially under disturbed conditions (Prölss, 1995).

Attempts have been made to deduce valuable neutral parameters from the ISR data (e.g., Bauer et al., 1970; Oliver, 1979; Mikhailov and Schlegel, 1997; Mikhailov and Foster, 1997; Zhang et al., 2001, 2002). In this paper, we will extract thermospheric information from ISR ion temperature profiles through solving the energy equation (e.g., Bauer et al., 1970; Oliver, 1979) and from electron density profiles using a data assimilation method (Zhang et al., 2001, 2002) for geomagnetically quiet and disturbed days. Using the derived thermospheric information from the Millstone Hill ISR observations as well as a theoretical ionospheric model, this study will explore physical causes of the ionospheric storm effects above middle latitudes during September 1998. Thus we will answer how to adjust these inputs to reproduce storm effects in the ionosphere during the disturbed periods. We will firstly give a brief description of an ionospheric model in Section 2, describe the data and data assimilation method in Section 3, and then present and discuss our results in Section 4. Summary and conclusions are given in the last section.

2. Model description

A one-dimensional theoretical model has been developed for the mid-latitude ionosphere over the altitude range of 100–600 km. It solves the equations of mass continuity and motion for O^+ . Ion densities for O_2^+ , NO^+ , and N_2^+ are calculated under the assumption of photochemical equilibrium. The solar flux EUVAC model (Richards et al., 1994) is used to define our initial EUV flux, and the absorption and ionization cross-sections are taken from Richards et al. (1994). Meanwhile, the scheme for calculating the secondary ionization is taken from Titheridge (1996). The nighttime EUV fluxes are based on the work of Strobel et al. (1974), and the nighttime photoionization cross-sections are obtained from Huba et al. (2000).

We include 21 chemical reactions, for $O^+(^4S)$, $O^+(^2D)$, $O^+(^2P)$, O_2^+ , N_2^+ , and NO^+ , which are listed in Table 1. The scheme of chemical reactions is somewhat similar to the model of Schlesier and Buonsanto (1999) and Pavlov and Foster (2001) in terms of the reaction rates for stable and meta-stable ions. The updated version of our model with new rate coefficients has been described in the later work of Lei et al. (2004). As emphasized by Pavlov et al. (1999, and references therein), the vibrationally excited N_2 and O_2 have significant effects on the O^+ loss rate. The model includes

Table 1

Chemical reactions and rates included in the ionospheric model $T_{\text{eff}} = (m_i T_n + m_n T_i)/(m_i + m_n) + 0.329E^2$, where E is the electric field perpendicular to the geomagnetic field in mV/m

Reaction	Rate coefficient ($\text{m}^3 \text{s}^{-1}$) or rate (s^{-1})	Reference
	$k_{10} = 1.533 \times 10^{-18} - 5.92 \times 10^{-19} (T_{\text{eff}}/300)$ $+ 8.60 \times 10^{-20} (T_{\text{eff}}/300)^2$ ($300 \text{ K} \leq T_{\text{eff}} \leq 1700 \text{ K}$)	
$\text{O} + (^4\text{S}) + \text{N}_2(v=0) \rightarrow \text{NO}^+ + \text{N}$		St-Maurice and Torr (1978)
	$k_{10} = 2.73 \times 10^{-18} - 1.155 \times 10^{-18} (T_{\text{eff}}/300)$ $+ 1.483 \times 10^{-19} (T_{\text{eff}}/300)^2$ ($1700 \text{ K} < T_{\text{eff}} < 6000 \text{ K}$)	
$\text{O}^+(^4\text{S}) + \text{N}_2(v > 0) \rightarrow \text{NO}^+ + \text{N}$	$k_1 = \sum_{v=1}^5 \text{N}_2(v) k_{1v} / \text{N}_2$	Pavlov et al. (1999)
$\text{O}^+(^4\text{S}) + \text{O}_2(v) \rightarrow \text{O}_2^+ + \text{O}$	$k_{11} = k_{10}; k_{12} = 38k_{10}; k_{13} = 85k_{10}; k_{14} = 220k_{10}; k_{15} = 270k_{10}$	
$\text{O}^+(^2\text{D}) + \text{N}_2 \rightarrow \text{N}_2^+ + \text{O}$	$k_2 = 1.7 \times 10^{-17} (300/T_n)^{0.77} + 8.54 \times 10^{-17} \times \exp(-3467/T_n)$	Hierl et al. (1997)
$\text{O}^+(^2\text{D}) + \text{O}_2 \rightarrow \text{O}_2^+ + \text{O}$	$k_3 = 1.5 \times 10^{-16} (T_{\text{eff}}/300)^{0.5}$	Li et al. (1997)
$\text{O}^+(^2\text{D}) + \text{O} \rightarrow \text{O} + (^4\text{S}) + \text{O}$	$k_4 = 7.0 \times 10^{-16}$	Johnsen and Biondi (1980)
$\text{O}^+(^2\text{D}) + \text{e} \rightarrow \text{O} + (^4\text{S}) + \text{e}$	$k_5 = 1.0 \times 10^{-16}$	Fox and Dalgarno (1985)
$\text{O}^+(^2\text{P}) + \text{N}_2 \rightarrow \text{N}_2^+ + \text{O}$	$k_6 = 7.8 \times 10^{-14} (300/T_e)^{0.5}$	Torr and Torr (1982)
$\text{O}^+(^2\text{P}) + \text{O} \rightarrow \text{O} + (^4\text{S}) + \text{O}$	$k_7 = 2.0 \times 10^{-16} (T_{\text{eff}}/300)^{0.5}$	Li et al. (1997)
$\text{O}^+(^2\text{P}) + \text{e} \rightarrow \text{O} + (^4\text{S}) + \text{e}$	$k_8 = 1.0 \times 10^{-17}$	Rees (1989)
$\text{O}^+(^2\text{P}) + \text{O} \rightarrow \text{O} + (^4\text{S}) + \text{O}$	$k_9 = 4.0 \times 10^{-16}$	Chang et al. (1993)
$\text{O}^+(^2\text{P}) + \text{e} \rightarrow \text{O} + (^4\text{S}) + \text{e}$	$k_{10} = 4.0 \times 10^{-14} (300/T_e)^{0.5}$	Rees (1989)
$\text{O}^+(^2\text{P}) + \text{e} \rightarrow \text{O} + (^2\text{D}) + \text{e}$	$k_{11} = 1.5 \times 10^{-13} (300/T_e)^{0.5}$	Henry et al. (1969)
$\text{O}^+(^2\text{P}) \rightarrow \text{O} + (^4\text{S}) + h\nu$	$A_1 = 0.0833 \text{ s}^{-1}$	Kaufman and Sugar (1986)
$\text{O}^+(^2\text{P}) \rightarrow \text{O} + (^2\text{D}) + h\nu$	$A_2 = 0.277 \text{ s}^{-1}$	Kaufman and Sugar (1986)
$\text{O}_2^+ + \text{NO} \rightarrow \text{NO}^+ + \text{O}^2$	$k_{12} = 4.4 \times 10^{-16}$	Lindinger et al. (1974)
$\text{O}_2^+ + \text{N} \rightarrow \text{NO}^+ + \text{O}$	$k_{13} = 1.2 \times 10^{-16}$	Fehsenfeld (1977)
$\text{O}_2^+ + \text{e} \rightarrow \text{O} + \text{O}$	$k_{14} = 2.0 \times 10^{-13} (300/T_e)^{0.7} (T_e < 1200 \text{ K})$ $k_{14} = 1.6 \times 10^{-13} (300/T_e)^{0.55} (T_e \geq 1200 \text{ K})$	Walls and Dunn (1974) Torr et al. (1976)
$\text{N}_2^+ + \text{O} \rightarrow \text{NO}^+ + \text{N}$	$k_{15} = 1.4 \times 10^{-16} (300/T_i)^{0.44}$	McFarland et al. (1974)
$\text{N}_2^+ + \text{O} \rightarrow \text{O} + (^4\text{S}) + \text{N}_2$	$k_{16} = 9.8 \times 10^{-18} (300/T_i)^{0.23}$	McFarland et al. (1974)
$\text{N}_2^+ + \text{O}_2 \rightarrow \text{O}_2^+ + \text{N}_2$	$k_{17} = 5.0 \times 10^{-17} (300/T_{\text{eff}})$	Lindinger et al. (1974)
$\text{N}_2^+ + \text{e} \rightarrow \text{N} + \text{N}$	$k_{18} = 1.75 \times 10^{-13} (300/T_e)^{0.3}$	Peterson et al. (1998)
$\text{NO}^+ + \text{e} \rightarrow \text{N} + \text{O}$	$k_{19} = 4.2 \times 10^{-13} (300/T_e)^{0.85}$	Torr et al. (1976)

the $\text{O}^+(^4\text{S}) + \text{N}_2(v)$ reaction rate measured by Schmeltekopf et al. (1968), and the reaction rate constants for the first five vibrational levels are obtained from Pavlov et al. (1999) (see Table 1). The analytical approach for the solution of the steady state vibrational quanta continuity equation is used to provide the vibrational temperature T_{vib} , which can give similar results for $N_m F_2$ and $h_m F_2$ to the full solution of the vibrational quanta continuity equation (Pavlov and Buonsanto, 1996). The rate coefficients of $\text{O}^+(^4\text{S}) + \text{O}_2(v)$ are given by Hierl et al. (1997) to include the effect of $\text{O}_2(v)$.

Ion velocity in the vertical direction, V_z , contains the collision drag effect of neutral winds U_n , electric field drifts V_e , and the plasma diffusion V_{diff} . We now discuss how to derive the thermospheric winds in our model. The modified method of Richards (1991) can be used for deriving the equivalent winds from $h_m F_2$ with the aid of our ionospheric model, which has been introduced by Pavlov and Buonsanto (1997) to avoid repeatedly running the ionospheric model. The equivalent winds (servo winds) include the effects of neutral winds and $\mathbf{E} \times \mathbf{B}$ drifts. In our ionospheric model the HWM93 model is also an alternative option to specify the neutral winds.

The measured vertical ion drift V_z from ISR provides a more precise option to estimate the thermospheric winds (V_z -based wind), as discussed by Schlesier and Buonsanto (1999). The observed N_e , T_e and T_i are used to calculate the diffusion velocity, V_{diffz} (ISR). The $\text{O}^+ - \text{O}$ collision frequency, $\nu_{\text{O}^+ - \text{O}}$, is a critical parameter for ionospheric modeling. In our model we take the formula suggested by Pesnell et al. (1993).

The ion continuity equation for O^+ can be solved with an implicit numerical method. For the lower boundary at 100 km, an assumption of photochemical equilibrium is adopted. The observed plasma densities at 600 km are taken as the upper boundary values. Default neutral atmospheric parameters are taken from the NRLMSISE-00 model, and NO density is calculated from the O and N_2 densities (Mittra, 1968). The observed plasma temperatures are also input into the ionospheric model.

3. Data and assimilative method

The Millstone Hill incoherent scatter radar experiment was performed from 1034 UT on September 21, 1998, to

0325 UT on September 27, 1998. The profiles of $N_e(h)$, $T_e(h)$, $T_i(h)$, $V_{iz}(h)$, observed with the ISR zenith antenna, are fitted using a 5-degree polynomial in altitude and then interpolated in time. The ion drift vector at 300 km was obtained from the line-of-sight velocities between 250 and 350 km, using the zenith antenna and the steerable antenna measurements with elevation angles no less than 45° . The drift components perpendicular to the magnetic field line represent the $\mathbf{E} \times \mathbf{B}$ drifts, and the parallel component can be used to estimate meridional winds (V_p -based wind). In addition, the shape of the ISR spectrum depends on ion composition, so the measured ion and electron temperatures rely on the ion composition model (Schlesier and Buonsanto, 1999; Pavlov et al., 1999). During disturbed periods, the standard Millstone Hill composition model may result in large errors in the measured T_e and T_i . The calculated ion compositions in our ionospheric model are used to correct the measured temperature and electron density by multiplication with the factors of Waldteufel (1971).

Input parameters of the ionospheric model (climatological model values), such as solar flux, neutral winds, the neutral composition and temperature, can be set as adjustable variables to bring in the best match with observed electron profiles (Zhang et al., 2001, 2002). Zhang et al. (2001, 2002) have explored the possibility and ambiguity in deriving multiple parameters from electron density profile data and concluded that it is almost impossible to adjust more than two free parameters simultaneously because of the high binary correlation (large variable correlation) in fitting the N_e profile. In this paper, we will use both $T_i(h)$ and $N_e(h)$ profiles in the data assimilation process for obtaining reliable thermospheric information.

First the steady state ion energy equation is solved to extract the thermospheric parameters. This approach has been widely used to derive the neutral temperature and atomic oxygen densities from ISR data (e.g., Bauer et al., 1970; Oliver, 1979). The observed T_i profiles can be fitted using the two free parameters, namely the exospheric temperature T_{ex} and [O] at the reference altitude. At nighttime $T_i = T_e = T_n$, [O] is unavailable and only T_{ex} can be deduced. During disturbed periods, ion-frictional heating (IFH) may be a possible source of systematic errors in determining T_{ex} and [O]. Applying the method of Litvin et al. (2000), in this case the systematic error is less 30 K in T_{ex} and 4% in [O].

Then we can implement two combinations, i.e., EUV-wind and [N₂]-wind, to match the measured and calculated N_e profile. Note that the inferred T_{ex} from observed T_i profiles is fed into NRLMSISE-00 model to produce the self-consistent T_n and [O₂]. For this data assimilation study, we consider only the daytime electron profiles, so the derived T_{ex} is also used to produce the nighttime neutral temperature and compositions. An optimal EUV multiplicative factor f_E is obtained with the EUV-wind adjustment. [N₂] is obtained with the [N₂]-wind adjustment where f_E is used. For the EUV-wind search, we selected the measured data during September 21–23, because the measured data

should be more accurate during the quiet days, as mentioned previously. In addition, it should be noted that we have implemented a [N₂]-wind adjustment, but found that the adjustment is not effective on September 25 because of the disappearance of the usual F_2 -layer. Thus we only adjust [N₂] as single-variable fit on September 25, whilst the winds are calculated from the measured vertical ion drift V_{iz} using the inferred neutral parameters.

4. Results and discussions

4.1. Solar geophysical conditions

The geophysical indices during September 21–27, 1998, are presented in Fig. 1. The solar activity index, F107, varied between 128 and 144, and its 81-day average was about 131 during this period. Given that the 3-h K_p fluctuated between 0 and 4 on September 21–23, 27, we can consider this period as magnetically quiet, and choose September 22 for a reference quiet day. A sudden storm commencement (SSC) occurred at 2345 UT on September 24, accompanied by a severe storm with AE index up to 1800 nT, D_{st} index down to -207 nT, and a maximum value of K_p of 8^+ on September 25. After undergoing the disturbed days of September 24–26, the magnetic activity returned to normal level by September 27.

4.2. Simulations without correcting the MSIS model

Fig. 2 shows the comparison of calculated $N_m F_2$ and $h_m F_2$ using the three wind options and standard MSIS model with the measured data. It is surprising that $N_m F_2$ during the daytime on September 23, decreases 50% with respect to September 22 values, whereas the day-time $N_m F_2$ on September 24, increases again, and is larger than that of September 23. This event may result from the changes in the

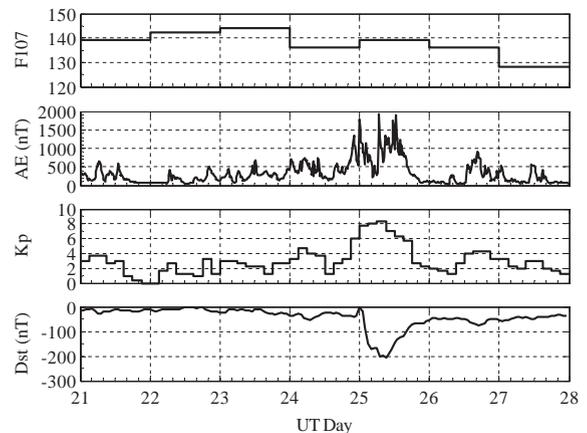


Fig. 1. The variations of F107, AE, K_p and D_{st} indices for September 21–27, 1998.

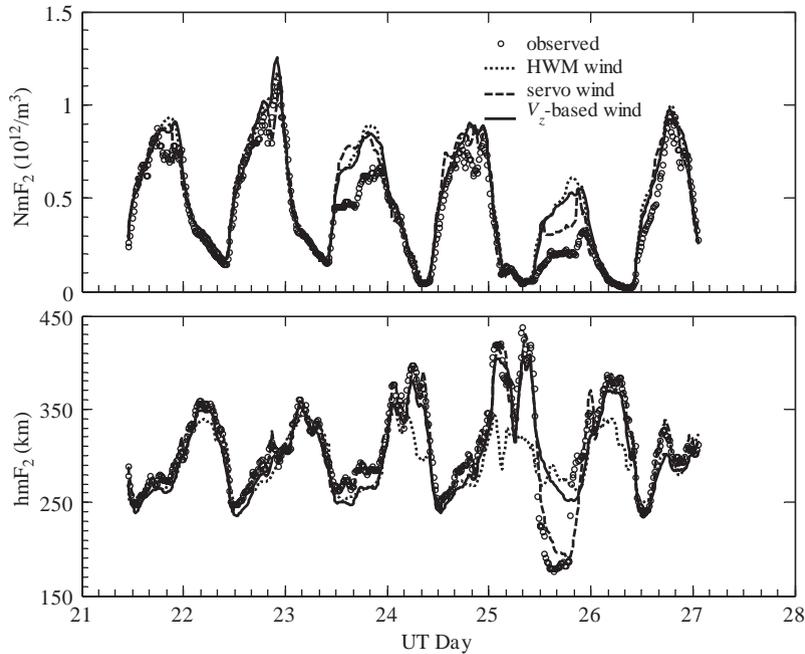


Fig. 2. The calculated and measured N_mF_2 and h_mF_2 during September 21–27, 1998 at Millstone Hill. Solid, dashed and dotted lines represent the model results using V_z -based winds derived from zenith line-sight-velocities, servo winds and HWM93 winds, respectively.

global thermospheric circulation during the equinox transition periods (Evans, 1970). The observed N_mF_2 on September 25 shows a large negative phase compared to the reference day. After the SSC, a strong N_mF_2 decrease and an h_mF_2 increase were seen at nighttime. Then the daytime N_mF_2 was significantly reduced, and h_mF_2 was below 200 km (actually the F_1 peak height), known as “G condition”. This feature can be seen frequently on mid-latitude ionograms, which is associated with the depleted N_e and O^+ in the F_2 layer and increased NO^+ and O_2^+ in the F_1 layer, leading to f_oF_2 lower than f_oF_1 . Either an anomalously large electric field (~ 100 mV/m) or an enhanced $[N_2]/[O]$ ratio can be responsible for the G condition (e.g., Pavlov and Foster, 2001).

Fig. 3 shows the perpendicular eastward and northward ion drifts at 300 km above Millstone Hill. As can be seen in this figure, large electric field perturbations exist on the disturbed days. However, the daytime electric fields are smaller than 30 mV/m at Millstone Hill on September 25. Thus we expect a large contribution due to thermospheric perturbation during this period.

The calculated N_mF_2 with the servo winds and the V_z -based winds agrees better with the observed N_mF_2 than that from HWM93 winds (Fig. 2). However, the daytime electron density on September 23, 24 and 25 cannot be well reproduced by the model using these three wind options. Typically, the model N_mF_2 on September 25 is 2.8 times higher than the observation. Both servo winds and

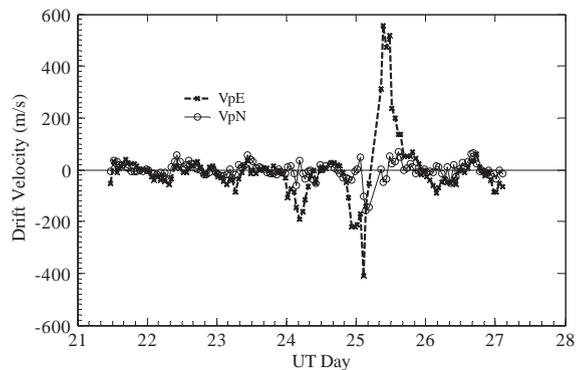


Fig. 3. Ion drifts measured perpendicular to the F-region magnetic field by the incoherent scatter radar during September 21–27, 1998. (a) E–W ion drift (V_pE), positive eastward, (b) N–S ion drift (V_pN), positive northward.

V_z -based winds can reproduce the observed h_mF_2 quite well except for the daytime G condition. It should be noted that the algorithm used to derive equivalent winds during the G condition may not be valid, although servo winds give model results more close to the measured data than the V_z -based winds do. The h_mF_2 produced by HWM93 winds is lower in the daytime and higher at nighttime than the measured h_mF_2 during the quiet period. During disturbed

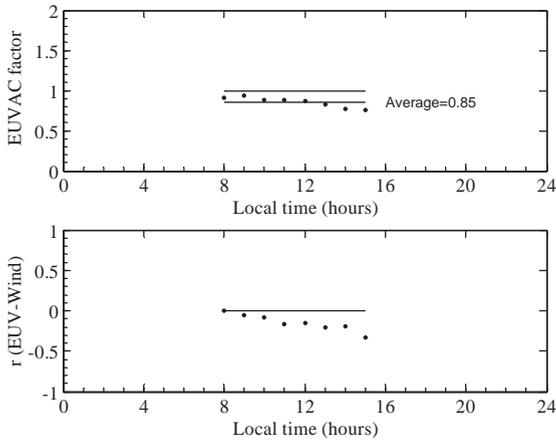


Fig. 4. The inferred EUV flux obtained by varying EUV flux and neutral winds during September 21–23. The top panel shows the derived EUVAC multiplicative factor, and the bottom panel shows the wind-EUV correlation coefficient.

days, the discrepancy becomes larger, reaching 100 km on September 25.

To investigate the role of vibrationally excited oxygen and nitrogen in electron density during this storm, we have performed a simulation without including the effect of vibrationally excited N_2 and O_2 using the standard MSIS model. The rate coefficient between $O^+(^4S)$ and vibrationally unexcited O_2 is given by Hierl et al. (1997). It is found that the increase in the O^+ loss rate due to the vibrationally excited N_2 and O_2 decreased $N_m F_2$ by only $\sim 30\%$ (not shown). Therefore, we can conclude that vibrationally excited N_2 and O_2 make a secondary contribution to negative storm condition during this storm. Two possible explanations can be found in Pavlov (1994).

4.3. Deduced parameters from ISR data

Fig. 4 shows the averaged multiplicative factor f_E and the correlation coefficient between EUV and meridional wind derived from the EUV-wind adjustment for September 21–23. We adjust the EUV flux by the same multiplicative factor at all wavelengths. The hourly EUVAC factor and the values of correlation (dots) are obtained by averaging over the three quiet days of September 21–23. The binary correlation between EUV and meridional wind is weak because meridional winds drive the ionization down/up to a faster/slower chemical loss region and consequently alter the chemical loss rate while the EUV flux only affects the production rate (Zhang et al., 2002). The meaningful factor is the daytime average of the hourly f_E factors assuming that the solar flux does not change with local time. The averaged factor is found to be 0.85, and will be applied to all our subsequent calculations. This EUV factor agrees with that given by Zhang et al. (2002).

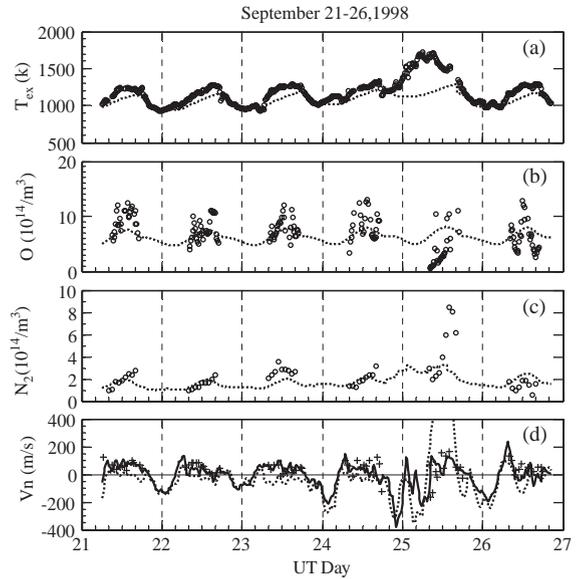


Fig. 5. The time variation of (a) exospheric temperature T_{ex} (the open circles indicate the T_i -based T_{ex} ; the dotted line is the MSIS model T_{ex}), (b) atomic oxygen density at 300 km (the open circles indicate values derived from Millstone Hill ISR data using a heat balance calculation; the dotted line is for the MSIS model), (c) $[N_2]$ density at 300 km (the open circles indicate the adjusted values; the dotted line is for MSIS model), (d) the inferred winds (solid line), the I_p -based winds at 300 km (plus sign), and servo winds at $h_m F_2$ (dotted line) over Millstone Hill during September 21–26, 1998. Details are explained in the text.

Fig. 5a depicts the exospheric temperature T_{ex} determined from ISR data using heat balance calculations (T_i -based T_{ex}) over Millstone Hill for September 21–26, 1998. The corresponding T_{ex} from the NRLMSISE-00 model is also plotted for comparison. The daytime T_i -based T_{ex} is higher than the MSIS value and the nighttime T_i -based T_{ex} is close to the MSIS T_{ex} except for nighttime during September 25, whose value is also higher. The average difference between the daytime T_i -based T_{ex} and the standard NRLMSISE-00 value is generally about 100 K except for September 25. The maximum T_i -based T_{ex} is higher than 1700 K, and the maximum difference between the MSIS T_{ex} and T_i -based T_{ex} reaches up to 550 K on September 25, which suggests a strong atmosphere heating effect possibly resulting from increased electric fields (Fig. 3).

Fig. 5b–c shows atomic oxygen density $[O]$ determined from ISR ion temperature profiles and $[N_2]$ at 300 km derived from the $[N_2]$ -wind adjustment, respectively. The calculated $[O]$ at 300 km from the T_i fit agrees well with the MSIS $[O]$, except on September 25, considering the estimated uncertainty of MSIS $[O]$ is about 15–30% (Hedin, 1987). The calculated $[O]$ at 300 km decreases an averaged 2.2 times on September 25 with respect to the quiet day of September 22. The N_2 modification is less than 10% on

average during September 21, 22 and 24, but is larger during September 23, 25 and 26. It is 53% higher than the MSIS prediction on September 23, and a 36% lower on September 26. The averaged increase in $[N_2]$ on September 25 is a factor of 1.8 times with respect to the quiet time level, resulting in the profound negative storm effects. This large $[N_2]/[O]$ can well explain the observed G condition. The decrease of $[O]$ leads to a decrease in the production of O^+ , while the increase in $[N_2]$ and $[O_2]$ results in the increase of recombination rate of O^+ . The rapidly depletes O^+ and N_e in the F_2 layer and increases NO^+ and O_2^+ in the F_1 layer, leading to the G-condition where f_oF_2 is lower than f_oF_1 . The large changes in neutral densities are consistent with the idea of composition perturbations proposed by Pröls (1993). The composition perturbations are caused by the heating and upwelling of air in the auroral zone, which then move to middle latitudes carried along by night thermospheric winds and subsequently rotate into the daytime sector. Our derived atmospheric parameters for this storm differ from those of Mikhailov and Foster (1997) for the April 10, 1990 storm, and of Pavlov and Foster (2001) for the July 16, 2000 storm. This suggests that the global thermospheric circulation is very complex, and the ionospheric response can differ considerably from one storm to another.

Shown in the bottom panel of Fig. 5 are the meridional neutral winds on September 21–26, 1998. The plus sign shows the V_p -based winds at 300 km, which are derived from the ion drift vector. The solid line shows the inferred winds at 300 km, which are determined from $[N_2]$ -wind adjustment during the daytime of September 21–24 and 26, while in the remaining time they are estimated from the measured vertical ion drift V_{iz} and the inferred neutral parameters. The dotted line represents the servo winds at h_mF_2 using the modified Richards method. The inferred winds show good agreement with V_p -based winds. The slight difference may be associated with the error in the V_p -based winds which involve the use of the standard MSIS model to infer the ion diffusion velocity, without considering the composition correction. The servo winds generally agree with the inferred winds and V_p -based winds except for September 25. Recall that the servo winds derived during the G condition may not be reliable due to the disappearance of the usual F_2 layer.

4.4. The simulated results with adjusted variables

Fig. 6 shows the time variation of the simulated N_mF_2 and h_mF_2 using the adjusted input variables. The results show that the level of agreement between the modeled N_mF_2 and h_mF_2 and the measured data is much improved. As an example, Fig. 7 gives the comparison of the simulated and observed $N_e(h)$ profiles at 1730 UT (1230 LT) for September 23 and 25. The solid lines represent the model density profiles with adjusted variables, and the dashed lines represent the model density profiles based on the V_z -based winds and standard MSIS model (not modified). After the adjustment, not only the F_2 -layer parameters but also the entire

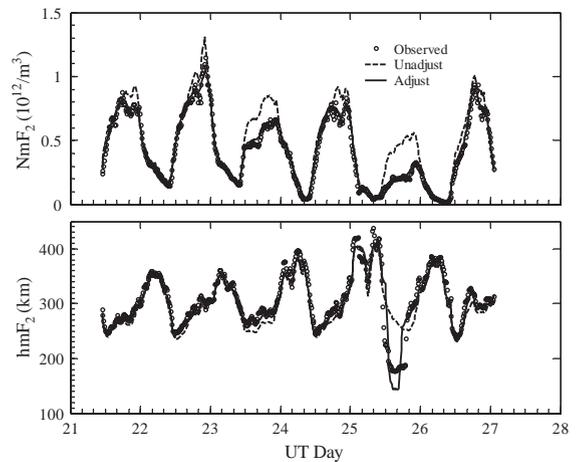


Fig. 6. The calculated and measured (open circles) N_mF_2 and h_mF_2 during September 21–27, 1998 at Millstone Hill. The solid lines represent the model results using adjusted EUV factor and the inferred neutral parameters, and the dashed lines represent the model results with the standard NRLMSISE-00 model.

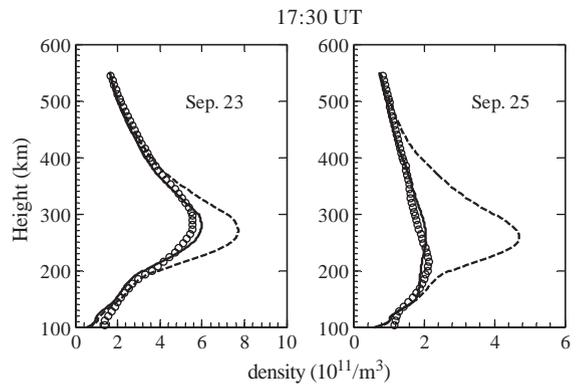


Fig. 7. Comparison of the measured (open circles) and calculated electron density profiles at 1730 UT (1230LT). The legends are the same as for Fig. 6.

electron density height profiles are in agreement with the observed data. However, the height profiles are not reproduced well on September 25, when the calculated height of the maximum electron density is lower than the observation. This may result from no adjustment for the thermospheric base. More input parameters and advanced techniques are required to improve the fits.

4.5. Interpretation for the h_mF_2 and N_mF_2 feature during the disturbed nighttime

Strong N_mF_2 decreases, accompanied by the h_mF_2 increases, were observed during midnight of September 24–26 compared to September 22. Fig. 8 shows the N_mF_2 ,

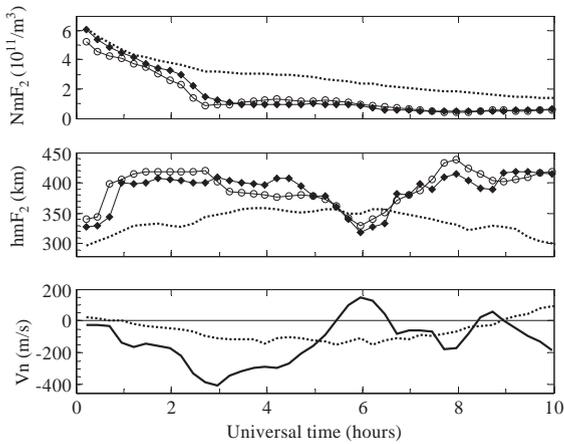


Fig. 8. The temporal variation of (a) N_mF_2 (the solid line with diamonds is the simulated values for September 25; For comparison, the observed values for September 25 (solid line with circles) and 22 (dotted line) are also presented), (b) h_mF_2 , and the legends are the same as in the top panel, (c) the derived meridional neutral winds (positive poleward) for September 25 (solid line) and September 22 (dotted line) at h_mF_2 .

h_mF_2 and meridional winds on the nights of September 22 and 25. We can see that N_mF_2 decreases by a factor of 2.4 and a maximum h_mF_2 lifts by 114 km on September 25 from the level of September 22. After the onset of the magnetic storm, the h_mF_2 increased by 100 km between 0000 and 0300 UT, and then decreased continuously to a lowest value at 0600 UT, and rose again in the following hours. The rapid decrease in h_mF_2 around midnight had been studied previously by Nelson and Cogger (1971), namely the “midnight collapse” phenomenon. The modeled N_mF_2 and h_mF_2 (the solid lines with diamonds) show good agreement with the observed data. The meridional winds display stronger equatorward winds during 0000–0400 UT than those on September 22, and turn their directions from equatorward to poleward at ~ 0515 and 0845 UT. This wave-like wind pattern is well reflected in the variation of h_mF_2 . It may be caused by a travelling atmospheric disturbance (TAD), which behaves like large-scale gravity waves moving in the equatorward direction and carrying poleward winds (e.g., Schlesier and Buonsanto, 1999). As indicated by an increase in the AE index (Fig. 1), energy associated with the magnetospheric substorm is injected into the auroral upper atmosphere, probably launching a large-scale travelling atmospheric disturbance. This point deserves further investigation based on more data.

Further, numerical simulations are carried out to investigate the mechanism involved. Fig. 9 shows the simulated h_mF_2 for the disturbed night during 0000–1000 UT (1900–0500 LT) of September 25 for different cases required to examine the contributions of the dynamics effect and recombination processes to the h_mF_2 variation. The

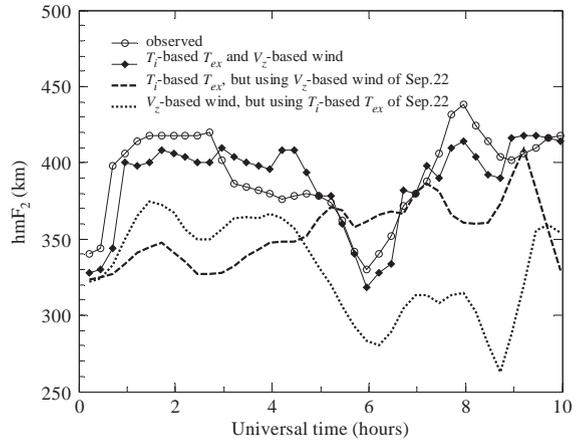


Fig. 9. Observed (solid lines with circles) and simulated h_mF_2 for the disturbed night of September 25, 1998. The solid line with diamonds shows the simulated h_mF_2 using T_1 -based T_{ex} and V_z -based winds on September 25. The dashed line shows the simulated h_mF_2 using T_1 -based T_{ex} on September 25, but using V_z -based winds of September 22 (quiet day) as the model input. The dotted line shows the simulated h_mF_2 using V_z -based winds of September 25, but using T_1 -based T_{ex} of September 22 as the model input.

calculated h_mF_2 using T_1 -based T_{ex} and V_z -based winds on September 25 is very close to the observation. When the V_z -based winds of September 22 are used instead of model input, h_mF_2 (dashed line) does not increase at the beginning while it is close to the observed h_mF_2 after 0600 UT. In another case, when the T_1 -based T_{ex} of September 22 is used instead for the model input, h_mF_2 (dotted line) agrees with the observed data during 0000–0600 UT. In addition, we found that the electric fields (Fig. 3) have a slight effect on the simulated h_mF_2 (not shown). By comparing the three case results, it can be found that the process of the initial layer uplift and its consequent rise is mainly associated with the large equatorward winds. After 0600 UT, the increased recombination rate, which is caused by a large increase in T_{ex} (Fig. 5a) and by the increased molecular nitrogen and oxygen density, causes a great reduction of electron densities in the bottom-side F_2 layer and arouses the h_mF_2 rise again. The descent of the ionosphere (“midnight collapse”) between these two intervals is due to the possible large-scale gravity wave (or TADs) (Fig. 8).

5. Summary and conclusions

A comparison of the model results of F-region electron density with the measurements at Millstone Hill during the September storm in 1998 has been carried out. The modeled densities based on the standard input parameters (climatological model values) are in agreement with the observed values, but there are some serious disagreements, requiring more exact information about the background atmosphere

than a general climatological description under quiet conditions. The model results show that the vibrationally excited N_2 and O_2 cannot explain the formation of negative storm in this case, although their effects would expect to become larger by using the derived T_{ex} . Thus, a data assimilation technique was used to deduce the exospheric temperature, winds, $[O]$ and $[N_2]$, and solar EUV flux from T_i and N_e profiles to understand the mechanisms of the storm effect.

The calculations showed large differences during storm time between the inferred neutral parameters from the Millstone Hill ISR data and the standard MRLMSISE-00 values. The maximum exospheric temperature T_{ex} derived from T_i profiles is higher than 1700 K, and differs from the NRLMSISE-00 model by 550 K on September 25. The calculated results show an averaged decrease in $[O]$ at 300 km by a factor of 2.2 and an increase in $[N_2]$ by ~ 1.8 times on September 25 with respect to the corresponding parameters on September 22. The large $[N_2]/[O]$ ratio can well explain the formation of G condition, and the significant negative phase at Millstone Hill during this storm.

A comparison of the simulated results with the observed data is carried out to investigate the relative importance of the dynamic effect and the chemical recombination process on the observed feature of a strong nighttime $N_m F_2$ decrease, accompanied by a large $h_m F_2$ increase after the sudden storm commencement (SSC). The inferred T_{ex} and the observed velocities are used in the calculations. We found that the uplift process in the F_2 layer from sunset to post-midnight is associated with the large equatorward winds, and the second rise in $h_m F_2$ after midnight results from the depleted electron density in the bottom-side of F_2 layer due to the increased recombination, while the “midnight collapse” of $h_m F_2$ is attributed to the large-scale traveling atmospheric disturbances associated with the substorm activity.

Acknowledgements

The MSIS and HWM models are provided by the World Data Center-A. Millstone Hill data were obtained through the Madrigal Database which is assembled and maintained by members of MIT Haystack Observatory Atmospheric Science Group. Special thanks are given to Prof. W.L. Oliver for providing the code of the heat balance calculation. This research was supported by the National Natural Science Foundation of China (40274054, 40134020) and the National Important Basic Research Project (G2000078407).

References

Anderson, D.N., Buonsanto, M.J., Codrescu, M., Decker, D., Fesen, C.G., FullerRowell, T.J., Reinisch, B.W., Richards, P.G., Roble, R.G., Schunk, R.W., Sojka, J.J., 1998. Intercomparison of physical models and observations of the ionosphere. *Journal of Geophysical Research* 103, 2179–2192.

Bauer, P., Waldteufel, P., Alcayd e, D., 1970. Diurnal variations of the atomic oxygen density and temperature determined from incoherent scatter measurements in the ionospheric F region. *Journal of Geophysical Research* 75, 4825–4832.

Buonsanto, M.J., Foster, J.C., 1993. Effects of magnetospheric electric fields and neutral winds on the low-middle latitude ionosphere during the March 20–21, storm. *Journal of Geophysical Research* 98, 19133–19140.

Buonsanto, M.J., 1999. Ionospheric storms—a review. *Space Science Review* 88, 563–601.

Chang, T., Torr, D.G., Richards, P.G., Solomon, S.C., 1993. Reevaluation of the $O^+(^2P)$ reaction rate coefficients from atmosphere explorer C observations. *Journal of Geophysical Research* 98, 15589–15597.

Danilov, A.D., Lastovi ka, J., 2001. Effects of geomagnetic storms on the ionosphere and atmosphere. *International Journal of Geomagnetism and Aeronomy* 2, 209–224.

Evans, J.V., 1970. Millstone-Hill Thomson scatter results for 1965. *Planetary and Space Science* 18, 1225–1252.

Fehsenfeld, F.C., 1977. The reaction of O_2^+ with atomic nitrogen and $NO^+ \cdot H_2O$ and NO_2^+ with atomic oxygen. *Planetary and Space Science* 25, 195–196.

Fox, J.L., Dalgarno, A., 1985. The vibrational distribution of N_2^+ in the terrestrial ionosphere. *Journal of Geophysical Research* 90, 7557–7567.

Hedin, A.E., 1987. MSIS-86 thermospheric model. *Journal of Geophysical Research* 92, 4649–4662.

Hedin, A.E., 1991. Extension of the MSIS thermospheric model into the middle and lower atmosphere. *Journal of Geophysical Research* 96, 1159–1172.

Hedin, A.E., Fleming, E.L., Manson, A.H., et al., 1996. Empirical wind model for the upper, middle and lower atmosphere. *Journal of Atmospheric and Terrestrial Physics* 58, 1421–1447.

Henry, R.J.W., Burke, P.G., Sinfailam, A.L., 1969. Scattering of the electron by C, N, O, N^+ , O^+ , and O^{++} . *Physical Review* 178, 218–224.

Hierl, P.M., Dotan, I., Seeley, J.V., Van Doren, J.M., Morris, R.A., Viggiano, A.A., 1997. Rate constants for the reactions of O^+ with N_2 and O_2 as a function of temperature (300–1800 K). *Journal of Chemical Physics* 106, 3540–3544.

Huba, J.D., Joyce, G., Fedder, J.A., 2000. Sami2 is another model of the ionosphere (SAMI2): a new low latitude ionospheric model. *Journal of Geophysical Research* 105, 23035–23053.

Johnsen, R., Biondi, M.A., 1980. Laboratory measurements of the $O^+(^2D) + N_2$ and $O^+(^2D) + O_2$ reaction rate coefficients and their ionospheric implications. *Geophysical Research Letters* 7, 401–403.

Kaufman, V., Sugar, J., 1986. Forbidden lines in $ns^2 np^k$ ground configuration and nsp excited configurations of Beryllium through Molybdenum atoms and ions. *Journal of Physical and Chemical Reference Data* 15, 321–426.

Lei, J., Liu, L., Wan, W., Zhang, S.-R., 2004. Model results for the ionospheric lower transition height over mid-latitude. *Annales Geophysicae* 22, in press.

Li, X., Huang, Y.-L., Flesch, G.D., Ng, C.Y., 1997. A state-selected study of the ion-molecule reactions $O^+(^4S, ^2D, ^2P) + N_2$. *Journal of Chemical Physics* 106, 1373–1381.

Lilensten, J., Blelly, P.L., 2002. The TEC and F2 parameters as tracers of the ionosphere and thermosphere. *Journal of Atmospheric and Solar-Terrestrial Physics* 64, 775–793.

Lindinger, W., Fehsenfeld, F.C., Schmeltkopf, A.L., Ferguson, E.E., 1974. Temperature dependence of some ionospheric

- ion-neutral reactions from 300–900 K. *Journal of Geophysical Research* 79, 4753–4756.
- Litvin, A., Oliver, W.L., Picone, J.M., Buonsanto, M.J., 2000. The upper atmosphere during June 5–11, 1991. *Journal of Geophysical Research* 105, 12789–12796.
- Liu, L., Luan, X., Wan, W., Ning, B., Lei, J., 2003. A new approach to the derivation of dynamic information from ionosonde measurements. *Annales Geophysicae* 21, 2185–2191.
- McFarland, M., Albritton, D.L., Fehsenfeld, F.C., Ferguson, E.E., Schmeltekopf, A.L., 1974. Energy dependence and branching ratio of the $N_2^+ + O$ reaction. *Journal of Geophysical Research* 79, 2925–2926.
- Mikhailov, A.V., Foster, J.C., 1997. Daytime thermosphere above Millstone Hill during severe geomagnetic storms. *Journal of Geophysical Research* 102, 17275–17282.
- Mikhailov, A.V., Schlegel, K., 1997. Self-consistent modeling of the daytime electron density profile in the ionospheric F region. *Annales Geophysicae* 15, 314–326.
- Mitra, A.P., 1968. A review of D-region process in non-polar latitudes. *Journal of Atmospheric and Terrestrial Physics* 30, 1065–1114.
- Nelson, G.J., Cogger, L.L., 1971. Dynamical behavior of the nighttime ionosphere at Arecibo. *Journal of Atmospheric and Terrestrial Physics* 33, 1711–1726.
- Oliver, W.L., 1979. Incoherent scatter radar studies of the daytime middle thermosphere. *Annales de Géophysique* 35, 121–139.
- Pavlov, A.V., 1994. The role of vibrationally excited nitrogen in the formation of the mid-latitude negative ionospheric storms. *Annales Geophysicae* 12, 554–564.
- Pavlov, A.V., Buonsanto, M.J., 1996. Using steady-state vibrational temperatures to model effects of N_2^* on calculations of electron densities. *Journal of Geophysical Research* 101, 26941–26945.
- Pavlov, A.V., Buonsanto, M.J., 1997. Comparison of model electron densities and temperatures with Millstone Hill observations during undisturbed periods and the geomagnetic storms of 16–23 March and 6–12 April 1990. *Annales Geophysicae* 15, 327–344.
- Pavlov, A.V., Buonsanto, M.J., Schlesier, A.C., Richards, P.G., 1999. Comparison of models and data at Millstone Hill during the 5–11 June 1991 storm. *Journal of Atmospheric and Solar-Terrestrial Physics* 61, 263–279.
- Pavlov, A.V., Foster, J.C., 2001. Model/data comparison of F region ionospheric perturbation over Millstone Hill during the severe geomagnetic storm of July 15–16, 2000. *Journal of Geophysical Research* 106, 29051–29069.
- Pesnell, W.D., Omidvar, K., Hoegy, W.R., 1993. Momentum transfer collision frequency of $O^+ - O$. *Geophysical Research Letters* 20, 1343–1346.
- Peterson, J.R., Padellec, A.Le., Danared, H., Dunn, G.H., Larsson, M., Larson, A., Peverall, P., Stromholm, C., Rosen, S., Ugglas, M., Van der Zande, W.J., 1998. Dissociative recombination and excitation of N_2^+ : cross sections and product branching ratio. *Journal of Chemical Physics* 108, 1978–1988.
- Picone, J.M., Hedin, A.E., Drob, D.P., Aikin, A.C., 2002. NRLMSISE-00 empirical model of the atmosphere: statistical comparisons and scientific issues. *Journal of Geophysical Research* 107(A12), 1468, doi:10.1029/2002JA009430.
- Pröls, G.W., 1993. On explaining the local time variation of ionospheric storm effects. *Annales Geophysicae* 11, 1–9.
- Pröls, G.W., 1995. Ionospheric F-region storms. In: H. Volland (Ed.), *Handbook of Atmospheric Electrodynamics*. Vol. 2. CRC Press, Boca Raton, pp. 195–247.
- Rees, M.H., 1989. *Physics and Chemistry of the Upper Atmosphere*. Cambridge University Press, Cambridge, pp. 125–126.
- Richards, P.G., 1991. An improved algorithm for determining neutral winds from the height of the F_2 peak electron density. *Journal of Geophysical Research* 96, 17839–17846.
- Richards, P.G., Fennelly, J.A., Torr, D.G., 1994. EUVAC: a solar EUV flux model for aeronomic calculations. *Journal of Geophysical Research* 99, 8981–8992.
- Richards, P.G., Wilkinson, P.J., 1998. The ionosphere and thermosphere at southern midlatitudes during the November 1993 ionospheric storm: a comparison of measurement and modeling. *Journal of Geophysical Research* 103, 9373–9389.
- Richards, P.G., Dyson, P.L., Davies, T.P., Parkinson, M.L., Reeves, A.J., 1998. Behavior of the ionosphere and thermosphere at a southern midlatitude station during magnetic storms in early March 1995. *Journal of Geophysical Research* 103, 26421–26432.
- Schlesier, A.C., Buonsanto, M.J., 1999. The Millstone Hill ionospheric model and its application to the May 26–27, 1990, ionospheric storm. *Journal of Geophysical Research* 104, 22453–22468.
- Schmeltekopf, A.L., Ferguson, E.E., Fehsenfeld, F.C., 1968. Afterglow studies of the reactions He^+ , $He(2^3S)$, and O^+ with vibrationally excited N_2 . *Journal of Chemical Physics* 48, 2966–2973.
- St.-Maurice, J.-P., Torr, D.G., 1978. Nonthermal rate coefficients in the ionosphere: the reactions of O^+ with N_2 , O_2 and NO . *Journal of Geophysical Research* 83, 969–977.
- Strobel, D.F., Young, T.R., Meier, R.R., Coffey, T.P., Ali, A.W., 1974. The nighttime ionosphere: E region and lower F region. *Journal of Geophysical Research* 79, 3171–3178.
- Titheridge, J.E., 1993. Atmospheric winds calculated from diurnal changes in the mid-latitude ionosphere. *Journal of Atmospheric and Terrestrial Physics* 55, 1637–1659.
- Titheridge, J.E., 1996. Direct allowance for the effect of photoelectrons in ionospheric modeling. *Journal of Geophysical Research* 101, 357–369.
- Tobiska, W.K., 1994. Modeled soft X-ray solar irradiance. *Solar Physics* 152, 207–215.
- Torr, D.G., Torr, M.R., Walker, J.C.G., Nier, A.O., Brace, L.H., Briton, H.C., 1976. Recombination of the O_2^+ in the ionosphere. *Journal of Geophysical Research* 81, 5578–5580.
- Torr, M.R., Torr, D.G., 1982. The role of metastable species in the thermosphere. *Reviews of Geophysics and Space Science* 20, 91–144.
- Waldeufel, P., 1971. Combined incoherent-scatter F1-region observations. *Journal of Geophysical Research* 76, 6995–6999.
- Walls, F.L., Dunn, G.H., 1974. Measurement of total cross sections for electron recombination with NO^+ and O_2^+ using ion storage techniques. *Journal of Geophysical Research* 79, 1911–1915.
- Zhang, S.-R., Fukao, S., Oliver, W.L., 1999. Data modeling and assimilation studies with the MU radar. *Journal of Atmospheric and Solar-Terrestrial Physics* 61, 563–583.
- Zhang, S.-R., Oliver, W.L., Fukao, S., Kawamura, S., 2001. Extraction of solar and thermospheric information from the ionospheric electron density profiles. *Journal of Geophysical Research* 106, 12821–12836.
- Zhang, S.-R., Oliver, W.L., Holt, J.M., Fukao, S., 2002. Solar EUV flux, exospheric temperature and thermospheric wind inferred from incoherent scatter measurements of the electron density profile at Millstone and Shigaraki. *Geophysical Research Letters* 29, doi: 10.1029/2002GL014678.